



*"Keeping Financial Services Education Relevant to Industry Needs"*



## Intermediate AML & Compliance

This course is designed to assist students and/or employees from a banking, insurance, securities, credit unions, money lenders, money transfer business, law/accounting firms, hospitality or other place of employment a deeper knowledge and understanding of Anti-money laundering/counter terrorist financing (AML/CTF) requirements under the legislation. The approach taken is both domestic and international practices which are to be implemented by institutions.

This course provides a general overview of the legal principles in banking law with case studies and articles related to banking in The Bahamas. It is recommended that students complement their studying of banking law with a legal dictionary.

### COURSE OBJECTIVES

1. Upon conclusion of this course students should be able to:
2. Demonstrate a practical understanding of the legal framework relating to AML/CTF;
3. Apply the knowledge to practical every-day money laundering issues in a comprehensive manner;
4. Critically evaluate AML/CTF issues involved in products and transactions;
5. Effectively risk profile customers and understand the due diligence requirements.
6. Illustrate the integration of information learnt with practical problem-solving and resolution of AML/CTF issues.

### Topics:

- ◆ Anti-money Laundering/Counter Terrorist Financing in context
- ◆ Laundering the proceeds of tax evasion
- ◆ Terrorist financing – compliance with sanctions regimes
- ◆ Risk management
- ◆ Comprehensive compliance systems
- ◆ Risk-based due diligence
- ◆ Customer risk profiling
- ◆ Suspicious activities reports
- ◆ Cooperation with enforcement authorities AML/CTF governance and leadership

### KEY BENEFITS

- ⇒ Practical & Relevant
- ⇒ Professional Excellence
- ⇒ Industry Recognition
- ⇒ Global Portability
- ⇒ Flexible Approach
- ⇒ Career Development
- ⇒ Specialist Knowledge
- ⇒ Study Resources

**Accredited Certificate of Completion Awarded**

Days: Saturdays  
Duration: 10 weeks  
Times: 9am - 12noon  
Cost: \$795 - \$895 (non-member)

### Terms & Conditions

Registration Fee: \$50 (Non-Refundable)  
Refunds: There will be absolutely no refund once you have received your online access codes.

**REGISTER TODAY**